

DEC 30 2005

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|---|---|----------------------|
| <b>FACSIMILE<br/>TRANSMITTAL<br/>FORM</b>   | Application Number                        | 10/727026            |
|   | Filing Date                               | December 2, 2003     |
|   | First Named Inventor                      | Ouderkirk, Andrew J. |
|   | Art Unit                                  | 2879                 |
|   | Examiner Name                             | Quarles, Kevin J.    |
| Fax: 571-273-8300                           | Attorney Docket Number                    | 59417US002           |
| Total Number of Pages in This Submission: 5 |   |                      |
| Date: December 30, 2005                     | Attorney for Applicant: Stephen C. Jensen |                      |

| ENCLOSURES (check all that apply)  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Issue Fee Transmittal<br><input type="checkbox"/> Amendment Transmittal  | <input type="checkbox"/> Petition  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                    |
| <input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/Declaration(s)   | <input type="checkbox"/> Petition to Convert a Provisional Application       | <input type="checkbox"/> Appeal Communication to Technology Center (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Extension of Time Request   | <input type="checkbox"/> Power of Attorney, Revocation                       | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Express Abandonment Request   | <input type="checkbox"/> Change of Correspondence Address                    | <input type="checkbox"/> Status Letter   |
| <input checked="" type="checkbox"/> Information Disclosure Statement   | <input type="checkbox"/> Terminal Disclaimer                                 | <input type="checkbox"/> Other Enclosures:   |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR § 1.52 or 1.53<br><input type="checkbox"/> Response to Missing Parts under 35 USC 371 in US Designated/ Elected Office (DO/EO/US) | <input type="checkbox"/> Request for Refund                                  |  |
|  | <input type="checkbox"/> Request for Continued Examination (RCE) Transmittal |  |
| <input type="checkbox"/> Drawings  | <input type="checkbox"/> After Allowance Communication to Technology Center  |  |
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Customer Number

Patent  
Case No.: 59417US002

DEC 30 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: OUDERKIRK, ANDREW J.  
Application No.: 10/727026 Group Art Unit: 2879  
Filed: December 2, 2003 Examiner: Quarterman, Kevin J.  
Title: PHOSPHOR BASED LIGHT SOURCE HAVING A NON-PLANAR LONG PASS  
REFLECTOR AND METHOD OF MAKING

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

- ☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
☒ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

December 30, 2005 *Andrew Newhouse*  
Date Signed by: Andrew Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

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Application No.: 10/727026

Case No.: 59417US002

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. Please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

29 Dec 2005  
Date

By: Stephen C. Jensen  
Stephen C. Jensen, Reg. No.: 35,207  
Telephone No.: 651-736-3369

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

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| Substitute for form 1449A/PTO (modified)<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary)<br><br>Page 1 of 1 | Application Number   | 10/727026 DEC 30 2005 |
|  | Filing Date          | December 2, 2003      |
|  | First Named Inventor | Ouderkirk, Andrew J.  |
|  | Art Unit             | 2879                  |
|  | Examiner Name        | Quarterman, Kevin J.  |
|  | Attorney Case Number | 59417US002            |

| U.S. Patent Documents |             |                                  |   |  |   |
|-----------------------|-------------|----------------------------------|---|--|---|
| Exam.<br>Init.*       | Cite<br>No. | Document Number                  | Publication Date or<br>Issue Date<br>MM-DD-YYYY | Name of Patentee<br>or Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |             | Doc. Number-(Kind Code If Known) |   |  |   |
|                       | A1          | US- 6,653,765                    | 11/25/2003                                      | Levinson et al.                                    |   |
|                       | A2          | US- 6,686,676                    | 02/03/2004                                      | McNulty et al.                                     |   |

| Foreign Patent Documents |             |                         |                             |                                |  |   |
|--------------------------|-------------|-------------------------|-----------------------------|--------------------------------|--|---|
| Exam.<br>Init.*          | Cite<br>No. | Foreign Patent Document |                             | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear |
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|                          | B1          |                         |                             |                                |  |   |

| OTHER DOCUMENTS |             |  |                               |
|-----------------|-------------|--|-------------------------------|
| Exam.<br>Init.* | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation<br>(Check if yes) |
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| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                  |

Information Disclosure Statement)

Application No.: 10/727026

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Case No.: 59417US002

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Respectfully submitted,

29 Dec 2005

Date

By:

*Stephen C. Jensen*  
Stephen C. Jensen, Reg. No.: 35,207  
Telephone No.: 651-736-3369

DUPLICATE

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